



4/94 - 7/96

**Travelers Insurance Companies**

One Tower Square, 7PB, Hartford, Connecticut 06183 Position:  
Senior Vice President & General Counsel

Responsible for legal operations for all lines of business (property/casualty, personal lines and life insurance) including corporate, product development, government affairs, staff counsel operations, licensing, litigation (including legal cost control efforts), corporate secretary and Board member. Directly report to Chairman and President.

6/89 - 7/96

**The Travelers Group, Inc. (Formerly Primerica Corporation) 388 Greenwich Street, New York, New York 10013**  
Position: Deputy General Counsel

Counsel and advisor to senior management and directors concerning legal matters relative to subsidiary insurance operations. Develop risk management strategies and advise concerning all business area expansions. Review and coordinate audits of all subsidiary operations.

8/87 - 7/96

**Gulf Insurance Group (a member of Travelers Group)**

388 Greenwich Street, 21st Floor, New York, New York 10013 Position:  
Executive Vice President and General Counsel (Holding Company and Seven Insurance Companies)

Counsel and advisor to seven property/casualty insurance companies that write specialty as well as traditional lines of insurance. Responsibilities include regulatory compliance including responsibility related to the National Council on Compensation Insurance and National Workers Compensation Reinsurance Pools; product development; drafting and negotiating with producers relative to agency agreements, reinsurance, policy coverage; claims oversight; corporate secretary and Board member.

9/86 - 8/87

**American Insurance Association (AIA)**

85 John Street, New York, New York 10038 Position:  
Senior Vice President

Counsel to 171 member companies concerning Workers' Compensation, Employers Liability Law, Insurance and related matters. National government affairs representative on behalf of AIA before various interest groups, and development of legal publications for the AIA. Counsel to AIA's President on Workers' Compensation and Employers Liability policy and development.

11/72 - 9/86

National Council on Compensation Insurance (NCCI) One Penn Plaza, New York, New York 10119  
Position: Vice President and General Counsel

Responsibilities included managing a 12 member Law Department and retention and supervision of outside counsel for a 700 member national insurance industry organization. Provided legal advice to NCCI officers on insurance law, and house counsel matters such as leases, contracts, EEO, copyright and antitrust. Responsible for relations with the NCCI's Board of Directors and chair of several major insurance industry and NCCI committees. Editor of Legal Insight magazine and author of monographs, digests on insurance and other areas of the law for members and staff of NCCI. Developed and participated in seminars on behalf of NCCI. Responsible for NCCI's risk management activity.

In addition to directing the NCCI Law Department, I was responsible for the NCCI Workers Compensation Assigned Risk Department that included 25 claims, premium collection and accounting personnel. This involved claims audits, resolution of coverage issues, and appointment and monitoring of service carriers and outside counsel.

2/71 - 11/72

Lee, McCarthy & DeRosa (American International Group) 102 Maiden Lane, New York, New York 10005  
Position: Attorney and Counsel

Counsel and associate in law firm that represented a conglomerate of property/casualty and life insurance companies. Insurance company corporate licenses admissions, general counsel responsibilities, government affairs and state filings. Advise management of domestic insurance companies on coverage, claims, contracts, insurance law and state regulatory requirements.

1/62 - 1/71

Government Employees Insurance Company (GEICO) Woodbury, New York  
Position: Assistant Regional Sales Director

Responsibilities consisted of managing, coordinating and resolving production, personnel, underwriting and general work-related problems for seven sales service offices, with a total of 120 employees. As Assistant Regional Sales Director, I participated in planning and implementing new sales programs in the New York Regional Office. Prior to position as Assistant Regional Sales Director, I had various underwriting and office manager responsibilities for three branch offices.

**Professional Recognition and Adjunct Professor:**

Appeared on CBS-Night Watch, quoted by The New York Times, Institutional Investor, Journal of Commerce and numerous trade journals. Adjunct Assistant Professor - New York University and College of Insurance, visiting lecturer at The University of Maine, and IAIABC College. Frequent lecturer at Bar Associations and insurance industry seminars.

**Commissioner:**

Appointed Commissioner of The State Insurance Fund and confirmed by the New York State Senate - September 1997 to Present.

Appointed Inspector - New York State Athletic Commission - January 2004 to Present.

**Director:**

Jackson National Life Insurance Company of New York; Mount Saint Michael Academy (Trustee); Technology Insurance Company; Rochdale Insurance Company, Atrium Insurance Corp., and Greater New York Mutual Insurance Company.

**Arbitration:**

Panel member on several reinsurance arbitrations.

Panel of Arbitrators - American Arbitration Association.

Master Arbitrator - New York State Insurance Department.

Certified Arbitrator - ARIAS-U.S. - AIDA Reinsurance & Insurance Arbitration Society.

Panel Member: CPR Institute

**Published Papers:**

Federal Black Lung Law & Insurance in a Nutshell (ABA Forum) 1975

Compensation of Federal Black Lung (Defense Research Institute) 1977

Lawyers Professional Liability Insurance (Queens County Bar Journal) 1978

Longshoremen & Harbor Workers Compensation Act (CPCU Monograph) 1981

Federal Black Lung Experience (Howard Law Journal) 1984

The New 1984 Workers Compensation & Employers Liability Policy (DRI Monograph) 1984

Compensating "STRESS" Claims in the 80's (International Association of Insurance Counsel Journal) 1984

Workplace Stress - Baltimore Law School (Law Review) 1986

